

**City of Los Angeles  
Responsible Banking Investment Monitoring Program  
For Investment Banks**

Investment banks providing City investment banking services or seeking City investment banking business must complete and submit this form no later than July 1<sup>st</sup> of each year to the City Administrative Officer to comply with Chapter 5.1, Section 20.95.1 of the Los Angeles Administrative Code.

**Contact Information:**

RBC Capital Markets, LLC			
Name of Financial Institution			
1918 8th Ave. Suite 3600	Seattle	WA	98101
Street Address	City	State	Zip Code
Christine H. Pihl, Managing Director			
Contact Person Name and Title			
(206) 621-3221	christine.pihl@rbccm.com		
Telephone No.	Email Address		

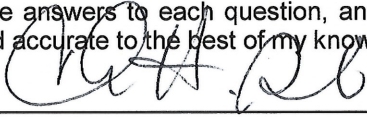
Please answer the following questions for the preceding calendar year.

1. Did your firm make monetary donations to charitable programs within the City limits?  
Yes  No  If yes, please complete the attached form.
  
2. Did your firm provide any scholarship awards to residents of the City of Los Angeles?  
Yes  No 
  - a. How many scholarships were awarded? \_\_\_\_\_
  - b. What was the total value of the awarded scholarships? \_\_\_\_\_
  
3. Does your firm have internal policies regarding utilization of subcontractors which are designated as "women owned," "minority owned," or "disabled" business enterprises?  
Yes  No  If yes, please provide a copy of your policies.

**CERTIFICATION UNDER PENALTY OR PERJURY**

I certify under penalty of perjury that I have read and understand the questions contained in this form and the responses contained in the form and on all the attachments. I further certify that I have provided full and complete answers to each question, and that all information provided in response to this form is true and accurate to the best of my knowledge and belief.

Christine H. Pihl, Managing Director  
Print Name, Title



Signature

6/17/2016  
Date

**PLEASE SEND THE ORIGINAL SIGNED FORM TO THE ADDRESS BELOW AND EMAIL A COPY TO [CAO.DEBT@LACITY.ORG](mailto:CAO.DEBT@LACITY.ORG).**

**Office of the City Administrative Officer  
200 North Main St. Room 1500  
Los Angeles, CA 90012  
Attention: Debt Management Group**

Attachment for Question #1 - Responsible Banking Investment Monitoring Program for Investment Banks

Name of Charitable Organization	Type	Amount (\$)
Fox Sports College Properties	Education & Literacy	\$ 30,000.00
IMG College	Education & Literacy	\$ 20,000.00
The Arthritis Foundation	Cancer/Aids/Other Diseases	\$ 10,000.00
The GRAMMY Museum	Arts & Culture	\$ 10,000.00
IMG	Education & Literacy	\$ 10,000.00
LA's BEST	Education & Literacy	\$ 10,000.00
LA Business Journal	Other	\$ 2,500.00
Tour de Pier	Cancer/Aids/Other Diseases	\$ 2,500.00
Hillside	Children & Youth Development	\$ 2,500.00
Connecting Cultures Mobile Museum	Arts & Culture	\$ 2,000.00
Connecting Cultures Mobile Museum	Arts & Culture	\$ 500.00
Tricity Youths	Children & Youth Development	\$ 500.00
University of Southern California	Education & Literacy	\$ 500.00
University of Southern California	Education & Literacy	\$ 500.00
UCLA Foundation	Education & Literacy	\$ 125.00

